

Donald Capital LLC

Business Continuity Disclosure Statement

January, 2023

Donald Capital has developed a Business Continuity Plan for how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Emergency Contact Persons

Our firm's two emergency contact persons are listed below:

Primary Contact

Donald McDonald
CEO
727-329-8652
info@donaldcapital-ny.com

Secondary Contact

Alex Hamilton
President
914-806-2066
info@donaldcapital-ny.com

These names will be updated in the event of a material change, and our Executive Representative will review the Firm's contact information on an annual basis.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Varying Disruptions - Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when

needed and expect to recover and resume business within 12 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business within 2 business days.

Disclosure of Business Continuity Plan

We also mail it to customers or others upon request. Disclosure Statement is provided below.

Updates and Annual Review

Our firm will update this plan whenever we have a material change to our operations, structure, business or location or to those of our clearing firm. In addition, our firm will review this BCP annually, by December 31st, to modify it for any changes in our operations, structure, business, or location or those of our clearing firm.

For more information - If you have questions about our business continuity planning, you can contact us at info@donaldcapital-ny.com or (212) 300-3091.